

**Ledger Technologies, LLC**  
*Tax and Financial Counselors*

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**2024 Client Engagement Agreement - Individual**

This engagement is limited to the preparation of your 2023 Federal Form 1040 and mutually agreed upon State Return(s) of \_\_\_\_\_ from information you furnish to us, including but not limited to your preparation of our Individual Tax Organizer; that to the best of your knowledge and belief you have provided us true, correct, and complete information regarding both income and deductions. We will not audit or otherwise verify the data you submit although we may ask you to clarify some information. Our contract for preparation services begins with all responsible parties signing this agreement, and will conclude, upon delivery to you a copy of your 2023 Federal and State Income Tax Return(s) or one year from the date of this Engagement Agreement whichever comes sooner.

Once your return is prepared and a Client Copy is provided, you can choose to have your return E-Filed with an Authorized Representative (ERO) other than Ledger Technologies, LLC Contracting with us to E-file your returns is acknowledged upon our receipt of your signed Form 8879, the signed corresponding State form(s), a signed Engagement Agreement, a signed Tax Organizer or Questionnaire, and full payment for the services rendered. You agree that failure to provide these documents and payment by April 15th, 2024, acknowledges your decision not to contract with us to E-file your returns. **We will not automatically file an Extension Request on your behalf if not contracted to E-File your returns. We do not file Extension Requests unless specifically requested to do so in writing or by fax/e-mail, and payment in full for the request. An Extension Request is an extension of time to file and not an extension of time to pay a tax that may be owed.** Failure to file a return or extension request by April 15th, 2024, may subject you to late filing or late payment penalties. Applying for an extension of time to file may extend the time for a government agency to undertake an audit or may extend the statute of limitations.

**Documentation, Return Preparation, Tax Law Interpretations and Record Retention**

It is your responsibility to maintain, in your records, the documentation necessary to support the data used in preparing your tax returns for the period required by law and you represent that you have the necessary documentation. You understand what information you provided to Ledger Technologies, LLC for the preparation of your return(s), and acknowledge that the information so provided, and the return subsequently prepared, was done so with your informed consent. We are not responsible for the disallowance of deductions, inadequately supported documentation, the inclusion of additional unreported income nor any resulting taxes, penalties, and interest.

Having a direct financial interest in, or signature authority over any Foreign Accounts, or having direct or indirect control over a foreign or domestic entity with Foreign Accounts may subject you to certain filing requirements with the Dept. of Treasury and the IRS. You agree to provide use with complete and accurate information regarding these types of financial interests, or signature authority, whether personally or through direct or indirect control of entities. Failure to disclose the required information to the Dept of Treasury may result in substantial fines or penalties. We assume no liability for fines or penalties for your failure to properly inform us of these relationships which may require the filing of certain forms.

We are responsible for preparing only the returns listed above. Our preparation fee does not include responding to inquiries or representing you in a tax examination by authorities, nor preparing any Excise Tax returns. In the event of a preparation error, we are responsible for any preparer penalties, and you are responsible for any additional taxes and interest that may be due. Upon finding errors or omissions on previous filed returns, we will advise you of the facts.

We will use our judgment to prepare tax returns, tax elections (et al.) and to resolve questions where a tax law appears unclear, when the facts and circumstances of a transaction is so applied, following the standards of Due Diligence and Reasonable Basis set forth in Dept. of Treasury Circular 230. Whenever we are aware that a possibly applicable tax law is unclear or that there are conflicting interpretations of the law by authorities (e.g., tax agencies and courts), we will explain those possible positions. In these situations, we will follow whatever position you request, so long as it is consistent with the codes, regulations and interpretations that have been promulgated. We are required by law to disclose any position on a return for which there is a reasonably good faith challenge to the law, rule, or regulation. If the IRS should later contest the position taken, there may be an assessment of additional tax plus interest and penalties. We assume no liability for any such additional penalties or assessments.

In accordance with our firm's current document retention policy, we will retain our work papers, copies of your paper source documents', PDF's and an electronic copy of your tax returns for a period of 3 years from due date of the return including extensions, unless otherwise required by law. We will provide a copy of depreciation schedules, tax returns, and other statements and schedules that may be part of your return. All your original hardcopy source documents will be returned to you, unless you fail to arrange for pick-up. After 3 years from the due date of the return including extensions, our work papers, files, and your original documents in our possession will no longer be available. Physical deterioration or catastrophic events may shorten the time during which our records may be available. Our firm's working papers and records are not a substitute for any original records. It is agreed and understood that the work papers prepared in performance of this engagement are the property of Ledger Technologies, LLC

### **E-Filing Hold Harmless**

You agree to hold us harmless from any penalties & interest issued by the taxing authorities incurred for the late filing of your returns arising out of or caused, directly or indirectly, by circumstances beyond reasonable control, including but not limited to power outages, computer outages, internet outages, inaccessibility to our office building, or equipment failures and mishaps, etc. which is a cause of Force Majeure.

### **Confidentiality, Written Communications, Fee's, Assignment and Privacy Policy**

Your confidentiality privilege can be inadvertently waived if you discuss the contents of any privileged communication with a third party, such as a lending institution, a friend, or a business associate. We recommend that you contact us before releasing any privileged information to a third party. Telephone conversations, E-mail communications and the like are considered preliminary, un- reviewed and for discussion purposes only and should not be relied upon. A written **Tax Research Memo** by our firm pursuant to Dept. of Treasury Circular 230 may be relied upon when requested by you for a fee of no less than \$325.

If we are asked to disclose any privileged communication, unless we are required to disclose the communication by law, we will not provide such disclosure until you have had an opportunity to argue that the communication is privileged. ***You agree to pay for all expenses reasonably incurred by us, including legal fees, that are a result of our attempts to protect any communication as privileged, as well as the time we spend preparing, attending, or testifying, including legal fees, as a percipient witness for a deposition, hearing, or trial for which you are a party to a claim at our then standard per person billing rates.***

During this engagement, we may communicate via e-mail transmission. E-mails are not secure nor private, and can be intercepted and read, disclosed, or otherwise used or communicated by unintended third parties, or may not be delivered to the parties so directed, hence we cannot guarantee or warrant that emails from us will be properly delivered and read only by the addressee. We specifically disclaim and waive any liability or responsibility whatsoever for interception or unintentional disclosure of emails transmitted by our employees or transmitted by you to us. You agree that we shall have no liability for any loss or damage to any person or entity resulting from the use of email transmissions, including any consequential, incidental, direct, indirect, or special damages, such as loss of revenues, income, or anticipated profits, or disclosure or communication of confidential or propriety information.

Fees for our services will be at our minimum **per-form** rates, which are made part of your tax return, are available upon request prior to beginning any work, plus IT, Cyber Security and Admin costs of \$145, and any out-of-pocket expenses. You agree that payment for this service is due upon receipt of our invoice, and interim billings may be submitted as work progresses and expenses are incurred. We reserve the right to stop work, withhold the delivery of any copy of a Tax Return, stop or suspend our obligation to the transmission of E-filing any Tax Return on any account that is not paid in full, in accordance with our firm's stated collection policy. If payment is not received by the due date, then invoices will be assessed with a finance charge of 1% per month on the unpaid balance with a minimum charge of \$2.00.

### **Assignment**

Ledger Technologies, LLC may assign this agreement or any of its rights and obligations under this agreement to any subsidiary or affiliate, or in connection with any sale, reorganization, transfer, contract, or other disposition of all or substantially all of its business or assets pursuant to Tres Reg 301.7216-2 (n)(1) if assignee assumes all Ledger Technologies, LLC's obligations. Any rights which inure to the benefit of **1040 Blues, Inc.** pursuant to this engagement agreement shall also inure to its permitted successors in interest by way of merger, acquisition, contract or otherwise and their permitted assigns.

Our Privacy Policy has been sent to you under separate cover and is available on our website: 1040Blues.com.

### **Arbitration and Attorney Fees**

The parties further agree to submit any claim arising out of, relating to, or regarding the validity of, this Agreement, (not including claims for fees for services rendered) , to binding Arbitration administered by the Judicate West, Santa Ana, CA pursuant to its rules and California law, with all Arbitration expenses being initially shared equally by the parties to the Arbitration, the prevailing party shall recover his/her/its reasonable attorney fees and costs of Arbitration.

Judicate West will select the Arbitrator if the parties are unable to agree upon an Arbitrator within one week of submission to Arbitration. This Arbitration clause, however, does not deprive the parties of any right they may otherwise have to seek provisional injunctive relief from a court of competent jurisdiction.

**2024 Engagement Agreement (continued)**

Notice of demand for arbitration must be submitted in writing with Judicate West and the other party to this Agreement. The notice must state the demanding party's position on the matter or matters in dispute, the amount of damages being sought, and any other relief sought.

The Arbitration will begin at a time noticed by Judicate West, even if a party fails or refuses to participate.

The parties will ask the Arbitrator to limit discovery to the extent consistent with basic fairness. The Arbitrator must provide a written arbitration award, containing the arbitrator's findings of fact and conclusions of law. Judgment on any award may be entered in any court having competent jurisdiction. Any costs and reasonable attorney fees incurred in the enforcement of the Arbitration award will be awarded to the prevailing party in addition to the Arbitration award.

## Audit Assistance Program

Enrollment in the Audit Assistance Program (AAP) limits your cost for our initial review and response to a letter inquiry or audit by the IRS or State agency received for one (-1-) year from the date of enrollment. This is not insurance against receiving a letter inquiry or having your return(s) selected for audit. Your enrollment is represented by your initials and payment of the cost by the date of E-filing your return.

**Plan A** provides 8 hours of time in responding to both letter inquiries and audits.

**Plan B** provides 3 hours of time in responding to letter inquiries received.

**Plan C** is for those business customers who operate a Sole Proprietorship and provides 8 hours of time in responding to both letter inquiries and audits.

Plan A \$295

Plan B \$195 \_\_\_\_\_ Sole Proprietorship Plan C \$395 \_\_\_\_\_

**Signature Page**

The terms and conditions are acceptable and are hereby agreed to and shall remain in effect until terminated by either party in writing prior to any work being completed. This Engagement Agreement shall be governed by and construed in accordance with the laws of the State of California, and you agree that the exclusive jurisdiction for any legal dispute shall be in the City of Fullerton, County of Orange, California.

**If any part or provision of this agreement should be held void or invalid, the remaining provisions shall remain in full force and effect.**

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Client Signature

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Print Name \_\_\_\_\_

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Date \_\_\_\_\_

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Client Signature

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Print Name \_\_\_\_\_

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Date \_\_\_\_\_

And by \_\_\_\_\_

Franc Balistrieri – President 1040 Blues, Inc.  
dba: Ledger Technologies, LLC

# Ledger Technologies, LLC

Tax and Financial Counselors

## CONSENT TO CORRESPOND

Federal law requires this consent form be provided to you. Without your consent and authorization, by law, we cannot use your tax return information, including but not limited to your name, email, and telephone numbers for purposes other than the preparation and filing of your tax return. If you consent to the disclosure of such tax return information, federal law may not protect your tax return information from further use or distribution by people, businesses, or your agents other than Ledger Technologies, LLC.

We are requesting that you complete this form however, you are not required to complete this form. If we obtain your signature on this form by conditioning our preparation services on your consent, your consent will not be valid. Your consent is valid for time that you specify. If you do not specify the duration of your consent, your consent is valid for one year. Unless otherwise noted, you agree your consent is valid from January 1<sup>st</sup>, 2024, through April 15<sup>th</sup>, 2025.

Other dates of Consent granted: \_\_\_\_\_ through \_\_\_\_\_.

The undersigned consent(s) to the disclosure of tax return information by Ledger Technologies, LLC of any information contained in my/our tax returns for the following purposes:

1. Mailing, faxing, or e-mailing letters, referral requests, newsletters, telephone calls and other forms of correspondence with information related to taxes, wealth management, investment, insurance, mortgages, and other economic and business matters which may benefit you.
2. Mailing, faxing, telephoning, or e-mailing birthday cards, Christmas cards, get well cards, get sick cards, humorous comedy, articles of any kind, information which we may think you would enjoy or not, and estimated tax payment reminders.
3. Telephone calls, faxes and e-mails relating to tax changes, IRS letters and audits.
4. Telephone calls, postcards, e-mails, or faxes confirming future appointments.
5. Preparation of FAFSA forms for student financial aid.
6. Merger, business formation, reorganization, or business acquisition.
7. Preparation of Forms SS-4 (application of Federal ID number) or preparation of Form 2553 (Election to be an S Corporation)
8. Answering tax related questions by taxpayer or spouses for any purpose.
9. Preparation of forms required or permitted by new legislation.
10. Referral Letters

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by e-mail at [complaints@tigta.treas.gov](mailto:complaints@tigta.treas.gov).

\_\_\_\_\_  
Name

\_\_\_\_\_  
Entity Name (if applicable)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

## 2023 Personal Information

### Personal Information

	Name	SSN	Has IP PIN	Date of Birth
Taxpayer				
Spouse				
Name of person to whom all information should be addressed, if not the taxpayer				
Street address, city, state, and ZIP				
	Occupation	Daytime Phone	Evening Phone	Cell Phone
Taxpayer				
Spouse				
Taxpayer email				
Spouse email				

### Filing status at the end of 2023

- ☐ Single   
 ☐ Married   
 ☐ Widowed - If widowed and your spouse died after December 31, 2021, enter the date of death \_\_\_\_\_
- ☐ Married filing separately - If married but filing separately, did you live apart from your spouse for the last six months of 2023? \_\_\_\_\_
- Yes**    **No**
- ☐ ☐ Are you or your spouse blind?
- ☐ ☐ Are you or your spouse disabled?
- ☐ ☐ Are you or your spouse a full-time student?
- ☐ ☐ Do you or your spouse want to designate \$3 to go to the Presidential Election Campaign Fund?
- ☐ ☐ At any time during 2023 did you:
  - (a) receive (as a reward, award, or payment for property or services) a digital asset?
  - (b) sell, exchange, gift, or otherwise dispose of a digital asset (or a financial interest in a digital asset)?

### Identification Information

#### Taxpayer's type of photo ID

- ☐ Driver's license   
 ☐ State-issued photo ID

Photo ID number \_\_\_\_\_

State photo ID was issued \_\_\_\_\_

Date photo ID was issued \_\_\_\_\_

Date photo ID expires \_\_\_\_\_

#### Spouse's type of photo ID

- ☐ Driver's license   
 ☐ State-issued photo ID

Photo ID number \_\_\_\_\_

State photo ID was issued \_\_\_\_\_

Date photo ID was issued \_\_\_\_\_

Date photo ID expires \_\_\_\_\_

### Account Information for Deposits and Withdrawals

Name of Bank	Bank Routing Number	Bank Account Number	Type of Account		Use this Account for	
			Checking	Savings	Deposits	Withdrawals

### Personal Information

**Yes** **No**

- ☐ ☐ Did your marital status change during the year?  
 If "Yes," explain. \_\_\_\_\_
- ☐ ☐ Did your name change during the tax year?  
 If "Yes," explain. \_\_\_\_\_
- ☐ ☐ If your filing status is married, but you are filing separately from your spouse, did you and your spouse live apart for the last six months of 2023?
- ☐ ☐ Can you or your spouse be claimed as a dependent by someone else?
- ☐ ☐ Did your address change during the year?
- ☐ ☐ Were you, your spouse, or any dependents a victim of identity theft?  
 If "Yes," explain. \_\_\_\_\_
- ☐ ☐ Were you, your spouse, or any dependents issued an Identity Protection PIN (IP PIN)?  
 If "Yes," provide Notice CP01A from the IRS.

**Provide proof of identity to be eligible to e-file your tax return (driver's license or state-issued photo ID)**

Dependent and Other Information

Name: SSN:

Dependent Information

First and Last Name SSN	Has IP PIN	Relationship	Months in Home	Date of Birth	Disabled	Full- time Student	Childcare Expenses

List dependents required to file a return \_\_\_\_\_

Dependent Information

Yes No

- ☐ ☐ ☐
- Did you have any changes in dependents during the year?
- 
- If "Yes," explain. \_\_\_\_\_
- ☐ ☐ ☐
- Can another person qualify to claim any of your dependents?
- ☐ ☐ ☐
- Did you have any child or dependent care expenses during the year?
- ☐ ☐ ☐
- Did you have any adoption expenses during the year?
- ☐ ☐ ☐
- Did you have any children under age 19 or a full-time student under age 24 with more than \$2,500 of unearned income?

Provide documentation for proof of dependent credits (school records, medical records, daycare records, etc.)

Estimates

	Federal		Resident State		Resident City	
	Date Paid	Amount	Date Paid	Amount	Date Paid	Amount
Overpayment applied from 2022	_____	_____	_____	_____	_____	_____
First quarter	_____	_____	_____	_____	_____	_____
Second quarter	_____	_____	_____	_____	_____	_____
Third quarter	_____	_____	_____	_____	_____	_____
Fourth quarter	_____	_____	_____	_____	_____	_____
Additional payments	_____	_____	_____	_____	_____	_____

Refund, Withholding, and Estimated Tax Information

Yes No

- ☐ ☐ ☐
- If you have an overpayment of 2023 taxes, do you want the refund applied to your 2024 estimated taxes?
- ☐ ☐ ☐
- Did you make any estimated payments toward your 2023 taxes?
- ☐ ☐ ☐
- Did you apply an overpayment of your 2022 taxes to your 2023 estimated taxes?
- ☐ ☐ ☐
- Do you want to have any refund or balance due directly deposited or withdrawn?
- 
- If "Yes," provide a canceled checking or savings slip.
- ☐ ☐ ☐
- Do you anticipate your income or withholdings to be different for 2024?

Retirement Information

Yes No

- ☐ ☐ ☐
- Did you make any contributions to an IRA, Roth, Keogh, SIMPLE, SEP, 401(k), or other qualified retirement plan during the year?
- ☐ ☐ ☐
- Did you make any withdrawals or receive distributions from a pension or profit-sharing plan, IRA, Roth, Keogh, SIMPLE, SEP, 401(k), or other qualified retirement plan during the year?
- ☐ ☐ ☐
- Did you execute any rollovers from an IRA, Roth, Keogh, SIMPLE, SEP, 401(k), or other qualified retirement plan during the year?
- ☐ ☐ ☐
- Did you receive any Social Security benefits during the year?

## Healthcare Coverage Questionnaire

Name:

SSN:

### Healthcare Information

Member of Household for Healthcare Purposes	Covered the Entire Year	Covered Less than 12 Months	No Healthcare Coverage at All

### Health Care Information

**Yes No**

☐ ☐ Did any member of your household have healthcare coverage through the Marketplace (Obamacare)?

If "Yes," provide copies of Form 1095-A.

☐ ☐ Did you receive any distributions from a Health Savings Account (HSA), Archer MSA, or Medicare Advantage MSA during the year?

**YES NO**

☐ ☐ Did anyone other than you or your spouse pay for healthcare coverage for anyone listed above?

☐ ☐ Did you pay for healthcare coverage for anyone not listed above?

**If you had coverage for any part of the year:**

Where was the policy obtained?

☐ Employer ☐ Medicare ☐ Medicaid ☐ Marketplace (Exchange) ☐ Other

**If you didn't have coverage part or all of the year:**

Answer YES if the following applies to any member of the household

☐ ☐ Was your previous insurance policy canceled in 2023?

☐ ☐ Was coverage offered by your employer or your spouse's employer?

☐ ☐ Are you a member of a federally recognized Indian tribe?

☐ ☐ Are you eligible for services through an Indian healthcare provider?

☐ ☐ Are you a member of a healthcare sharing ministry?

☐ ☐ Did you live in the United States the entire year?

☐ ☐ Are you enrolled in TRICARE?

☐ ☐ Did you apply for CHIP coverage?

☐ ☐ Do any of the following apply to you? Do NOT indicate which one.

- ☐ Became homeless
- ☐ Evicted in the past six months, or facing eviction or foreclosure
- ☐ Received a shut-off notice from a utility company
- ☐ Recently experienced domestic violence
- ☐ Recently experienced the death of a close family member
- ☐ Recently experienced a fire, flood, or other natural or human-caused disaster that resulted in substantial damage to your property
- ☐ Filed for bankruptcy in the last six months
- ☐ Incurred unreimbursed medical expenses in the last 24 months that resulted in substantial debt
- ☐ Experienced unexpected increases in essential expenses due to caring for an ill, disabled, or aging family member

## Questionnaire

Name:

SSN:

## Questionnaire

## Income, Purchases, Sales, and Debt Information

Yes No

- ☐ ☐ Did you receive any tips not reported to your employer?
- ☐ ☐ Did you receive any disability income during the year?
- ☐ ☐ Did you cash in any U.S. savings bonds during the year?
- ☐ ☐ Did you start a new business or purchase any rental property during the year?
- ☐ ☐ Did you sell an existing business, rental property, or other property during the year?
- ☐ ☐ Did you purchase any business assets or convert any assets to business use?  
If "Yes," provide the cost of the asset, the date it was placed in service, and the business use percentage.
- ☐ ☐ Did you purchase any gasoline, diesel, or special fuels for off-road business use?
- ☐ ☐ Did you buy or sell any stocks, bonds, or other investments during the year?
- ☐ ☐ Did you sell a principal residence during the year?  
If "Yes," provide closing documentation for the purchase and sale of the home.
- ☐ ☐ Did you have a principal residence or a piece of real property foreclosed on during the year?
- ☐ ☐ Did you abandon a principal residence or a piece of real property during the year?
- ☐ ☐ Did you refinance your principal home or second home or take out a home equity loan during the year?  
If "Yes," provide all escrow, closing, and other pertinent documentation and information.
- ☐ ☐ Did you receive any principal or interest during this year from property sold in prior years?
- ☐ ☐ Did you rent out your home or use it for business?
- ☐ ☐ Did you sell, exchange, or purchase any real estate during the year?
- ☐ ☐ Did you acquire a new or additional interest in a partnership or S corporation?
- ☐ ☐ Did you have any debts canceled or forgiven this year?
- ☐ ☐ Does anyone owe you money that has become uncollectible?
- ☐ ☐ Did you purchase a new or previously owned clean vehicle (electric vehicle, plug-in hybrid, fuel-cell vehicle, qualified commercial clean vehicle) during the year?  
If "Yes," provide the report the dealer or seller is required to provide to you.
- ☐ ☐ Did you receive income or incur expenses associated with a fantasy sports league?  
If "Yes," provide documentation.
- ☐ ☐ Did you receive income or incur expenses associated with car sharing (e.g., Lyft or Uber)?  
If "Yes," attach Form 1099-MISC, Form 1099-NEC, or Form 1099-K.
- ☐ ☐ Did you receive income or incur expenses associated with freelancing (e.g., Upwork or TaskRabbit)?  
If "Yes," attach Form 1099-K or Form W-2.
- ☐ ☐ Did you receive income or incur expenses associated with fashion sharing (e.g., Poshmark or thredUP)?  
If "Yes," provide documentation.
- ☐ ☐ Did you receive income or incur expenses associated with crowdfunding (e.g., Kickstarter or Indiegogo)?  
If "Yes," attach Form 1099-K.
- ☐ ☐ Did you receive income or incur expenses associated with a short-term rental (e.g., Airbnb, VRBO or HomeAway)?  
If "Yes," provide documentation.
- ☐ ☐ Did you receive income or incur expenses as an independent contractor (e.g., Shipt, Instacart, DoorDash)?  
If "Yes," provide documentation.
- ☐ ☐ Did you receive any other income you have not provided information for with this organizer?  
If "Yes," explain. \_\_\_\_\_

## Education Information

Yes No

- ☐ ☐ Did you pay tuition expenses that were required for attending college, university, or vocational school for yourself, your spouse, or a dependent during the year (even if classes were attended in another year)?
- ☐ ☐ Did anyone in your household attend a post-secondary school during the year?
- ☐ ☐ Did you make a contribution to or receive a distribution from an Education Savings Account or Qualified Tuition Program during the year?
- ☐ ☐ Did you pay student loan interest for yourself, your spouse, or your dependents during the year?  
If "Yes," provide the amount of interest that was refunded.
- ☐ ☐ Did you receive forgiveness on a qualifying federal student loan?



## Questionnaire

Name:

SSN:

### Questionnaire

#### Itemized Deduction Information

**Yes No**

- ☐ ☐ Did you pay out-of-pocket medical or dental expenses (premiums, prescriptions, mileage, etc.) during the year?
- ☐ ☐ Did you pay any long-term care premiums for yourself, your spouse, or a dependent during the year?
- ☐ ☐ Did you receive any state or local income tax refunds from prior years?
- ☐ ☐ Did you make any major purchases (vehicle, boat, etc.) during the year?
- ☐ ☐ Did you pay any real estate property taxes or personal taxes during the year?
- ☐ ☐ Did you pay mortgage interest during the year?
- ☐ ☐ Did you make cash donations to charity during the year?
- ☐ ☐ Did you make noncash donations to charity (clothes, furniture, etc.) during the year?
- ☐ ☐ Did you donate a boat or vehicle during the year?
- If "Yes," attach Form 1098-C.
- ☐ ☐ Did you have gambling winnings or losses during the year?
- ☐ ☐ Did you have any job-related expenses that were not reimbursed by your employer (uniforms, safety equipment, etc.)?
- ☐ ☐ Did you use your vehicle on the job other than for commuting to work?
- ☐ ☐ Did you work out of town at any time during the year?

#### Foreign Tax Information

**Yes No**

- ☐ ☐ Did you have a financial interest in or signature authority over a financial account or asset located in a foreign country?
- ☐ ☐ Did you receive a distribution from, or were you a grantor of, or transferor to, a foreign trust?
- ☐ ☐ Did the aggregate value of your foreign accounts exceed \$10,000 at any time during the year?
- ☐ ☐ Did you have any income from, or pay taxes to, a foreign country?
- ☐ ☐ Did you receive a Schedule K-3 from a partnership or S corporation?
- ☐ ☐ Did you have ownership in a foreign corporation at any time during the year?
- ☐ ☐ Did you own property in a foreign country?

#### Miscellaneous Information

**Yes No**

- ☐ ☐ Did you receive, sell, exchange, gift, or otherwise dispose of any digital asset or financial interest in any digital asset?
- ☐ ☐ Did you incur a gain or loss due to damaged or stolen property, while living in a federally declared disaster area?
- If "Yes," provide the incident date, value of the property, amount of insurance reimbursements, and the declaration number assigned by FEMA.
- ☐ ☐ Did you pay wages to any household employees (babysitter, nanny, housekeeper, etc.)?
- ☐ ☐ Did you make gifts to any one person in excess of \$17,000 during the year?
- Yes No**
- ☐ ☐ If "Yes," are you splitting the gift with your spouse?
- ☐ ☐ Did you incur moving expenses with the military during the year?
- ☐ ☐ Did you make any energy-efficient improvements to your main home during the year?
- ☐ ☐ Are you a business owner who paid health insurance premiums for your employees during the year?
- ☐ ☐ Did you receive a cash payment or digital asset of more than \$10,000 in one transaction or two or more related transactions during the year?
- Yes No**
- ☐ ☐ If "Yes," was Form 8300, Report of Cash Payment over \$10,000 Received in Trade or Business, filed?
- ☐ ☐ Do you own interest or shares in or did you dispose of a Qualified Opportunity Fund during the year?
- ☐ ☐ Did you make any purchases subject to use tax during the year?
- If "Yes," provide details.
- ☐ ☐ Did you receive any notices from the IRS or state taxing authority?
- If "Yes," explain. \_\_\_\_\_
- ☐ ☐ May the IRS discuss your tax return with your preparer?
- Would you like a copy of your tax return sent to you electronically instead of receiving a printed copy?

Questionnaire

Name:

SSN:

Questionnaire

Notes for Preparer

Client Signature

Print Name

Date

Client Signature

Print Name

Date